



agents
of
positive
change

We are Diligent

A modern approach to Governance, Risk and Compliance

Ricardo Moreno

Senior Vice President, Latin America and
Partnerships



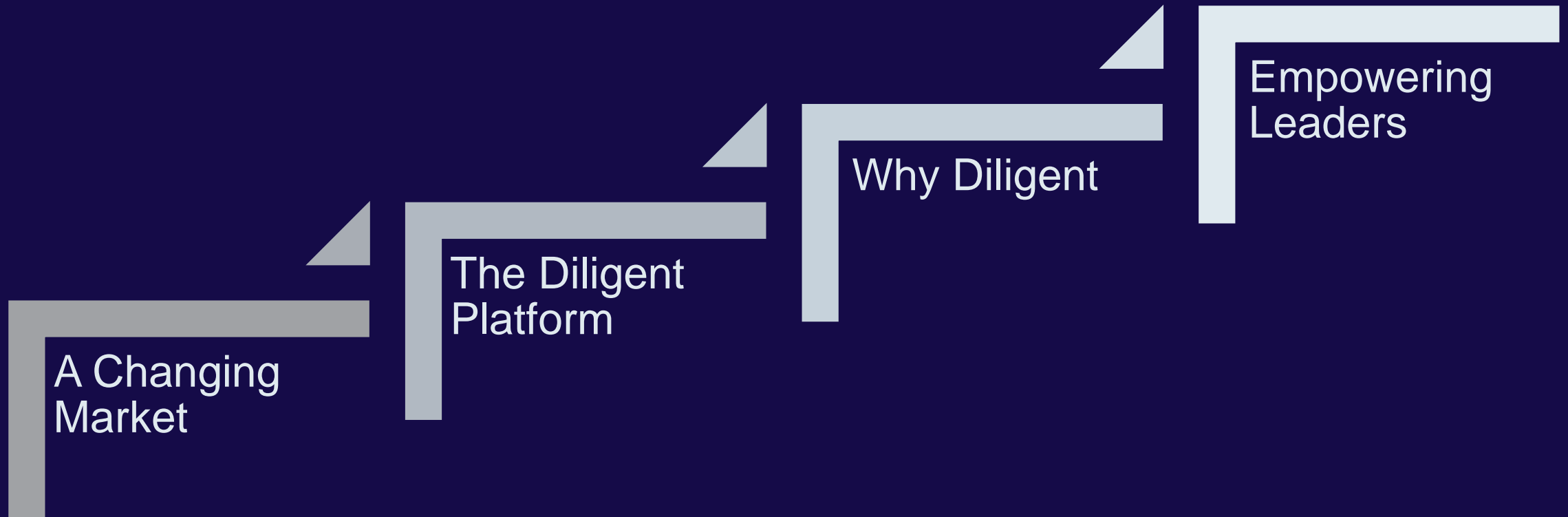


**lead
with
purpose**

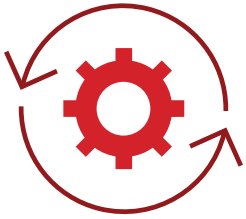


**be
more
intentional**

Our Agenda



Companies Need to Take Action



55%

of consumers believe it's important for companies to take a stand on key social, environmental and political issues.

36%

of Corporate Board Members feel that they'll need better information, processes or reporting to effectively oversee cybersecurity and data management.

87%

of the executives surveyed reported reputation risk is more important than other strategic risks their company is facing.

We Are On The Cusp Of The Next Enterprise Software Revolution

GRC software is the new operating system for stakeholder capitalism

Era of ERP

Software companies like Oracle and SAP helped companies manage their day-to-day operations.



2000's

Era of CRM

Marketing software from Adobe and Salesforce becomes integral to business operations, ushering in a new CRM category.



2010's

Era of GRC

Rise of stakeholder capitalism mandates a new way of operating. Companies need systems — integrated GRC — to ensure they collect new data and don't miss things.



2020's

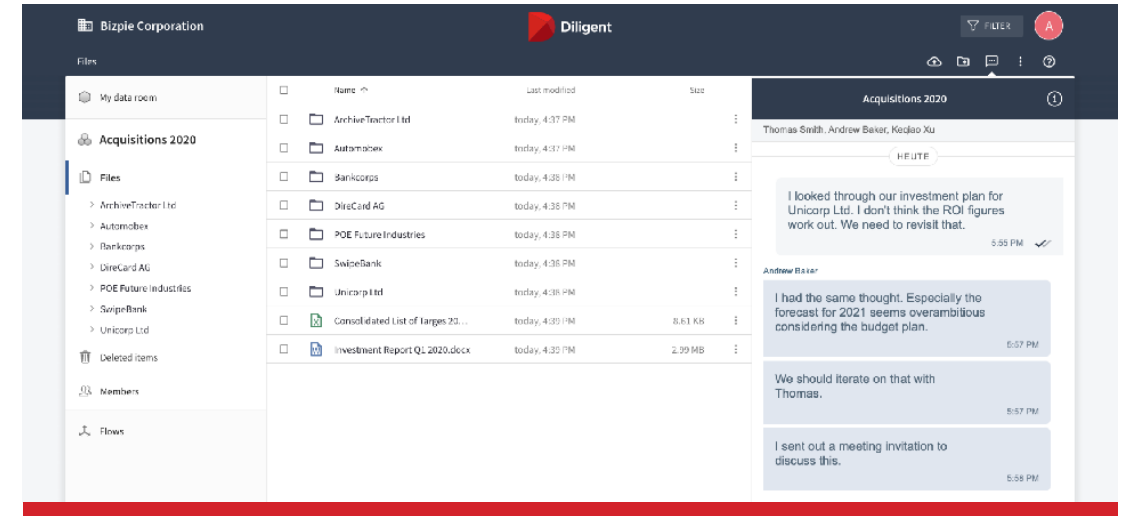
Advancing Modern Governance Through Technology

Integrated SaaS Solutions

Diligent provides an integrated suite of solutions that address the core needs of today's organizations and ensure good GRC practices across organizations. These solutions are built with customer feedback top of mind, designed to digitize and streamline processes, collaboration, organizational compliance and data consumption.

Leading Private Equity Investors

Diligent is backed by Insight Partners, The Blackstone Group and Clearlake Capital Group — strategic partners who are dedicated to Diligent's modern governance mission.



“Diligent and the products they give I think are very forward moving, forward thinking, and that’s what modern governance is. You can’t do that without the tools that Diligent provides.”

Shonna Koch

Senior Board Liaison and Director of Entity Management,
Leggett and Platt Incorporated

The Power Of A Platform

Diligent Platform

Diligent has everything a company needs for a holistic GRC and ESG program



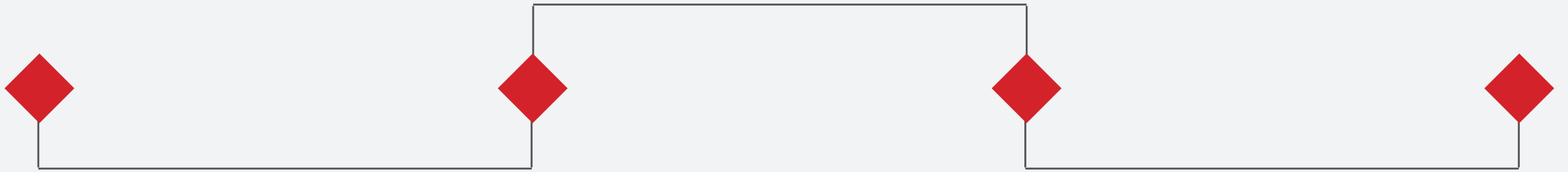
The Diligent GRC platform spans from the board room down to an organization's subsidiary management and internal audit

- End to end Governance
- Risk
- Compliance
- Audit
- ESG

70+ API Integrations - Out-of-the-box integrations drive automated data gathering and controls:



Using Several Solutions Leads to More Complexity



Hosting and capturing all GRC use cases in multiple environments with different workflows prevents one view into all your risk, compliance and audit activities



Large amounts of data from disparate internal and external databases can make it difficult to keep data current & get what you need when you need it.

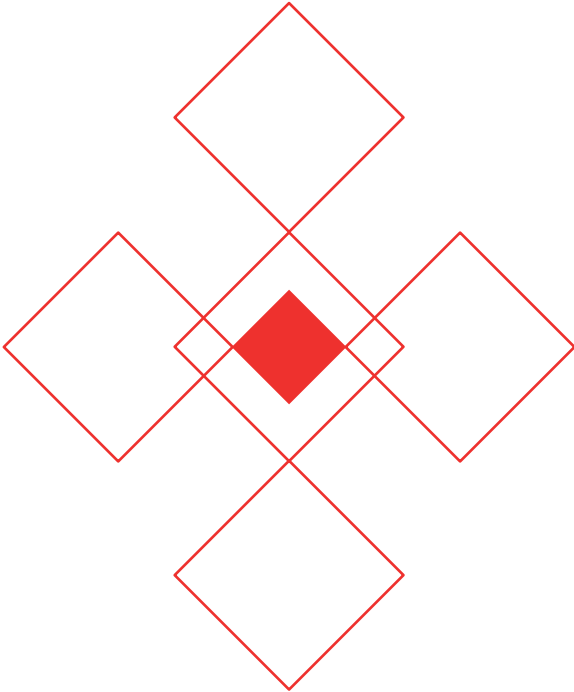


Routine tasks are manual and time-consuming



Poor reporting and intelligence sharing leads to missed opportunities for better decision making.

An Integrated Platform Provides a Single Source of Truth



Centralize, scale and streamline with 70+ integrations



Surface insights to the board and c-suite



Leverage robotic process automation for continuous monitoring



Streamline processes with integrated workflows

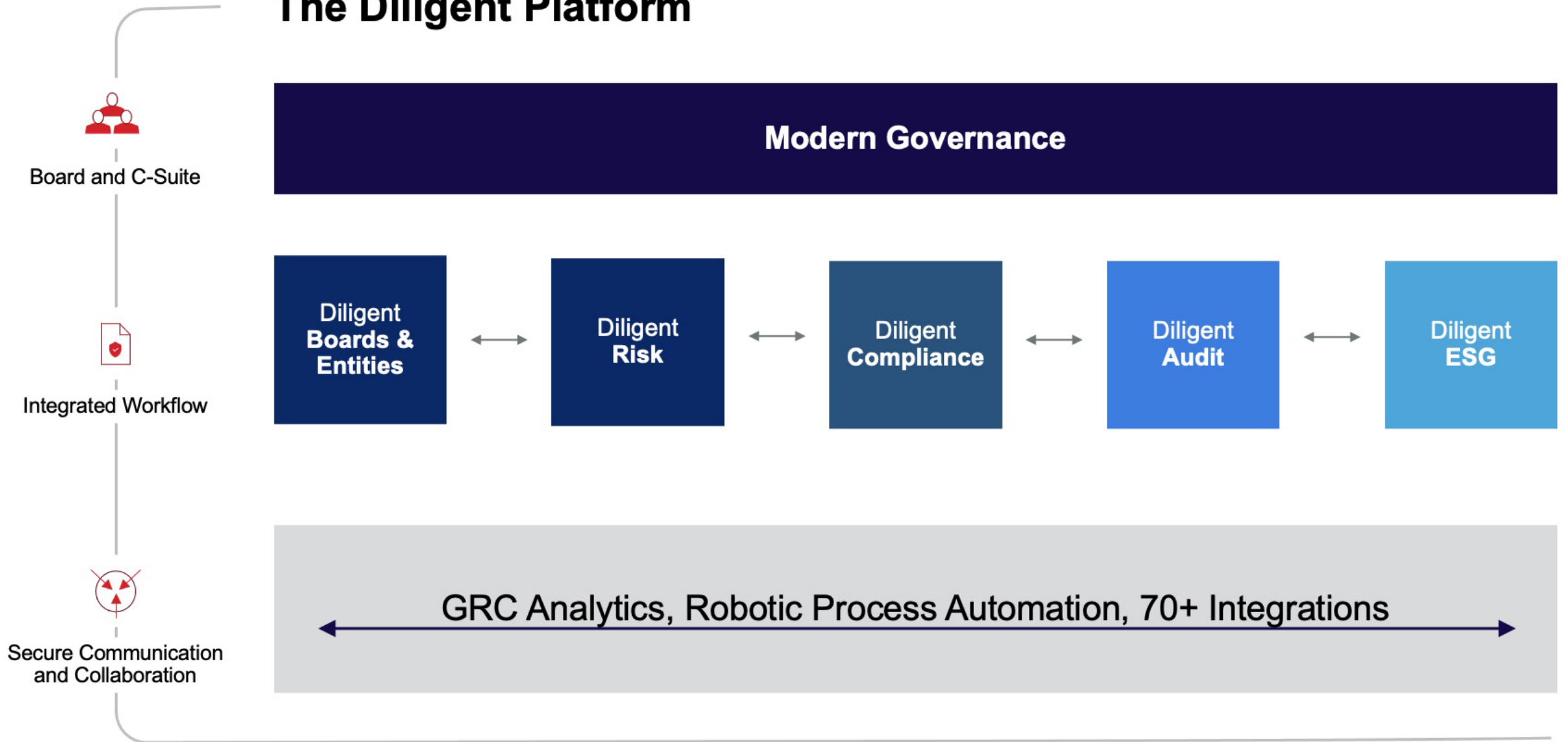


Gain real-time visibility with GRC analytics



Protect confidential data while collaborating with ease

The Diligent Platform



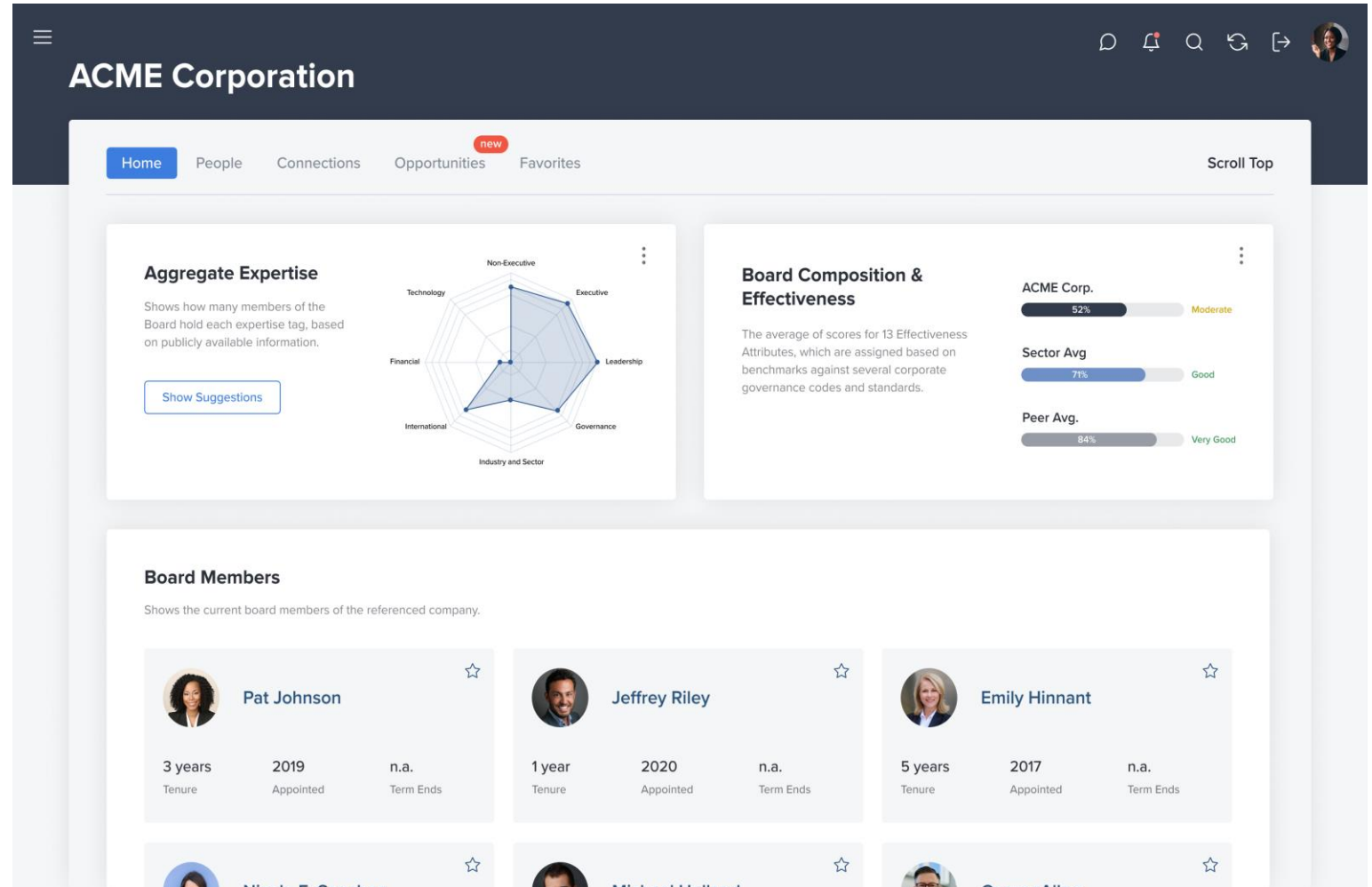
Enable Purpose-Driven Leadership

Modern Boards & Entities

Run seamless board and leadership meetings – even when remote.

Effectively manage entities, subsidiaries and corporate records.

Support financial integrity, investor confidence, stakeholder engagement and sustainable performance.



Provide Visibility Into Risk

Modern Risk

Identify, monitor and manage risks across your entire organization

Report on results

Continuously evolve the process to improve compliance, increase public confidence, and meet

The screenshot displays the Diligent Risk Management interface. At the top, there is a navigation bar with the Diligent logo and various menu items: Search, Monitor, API, UBO Chart Tool, Profiles, Filomena Russo, Help, Tools, Production, and Log Out. Below this is a secondary navigation bar with options like My Alerts, Group Alerts, Manage Lists, Analytics, Manage Dispositions, Manage Team, Testing Tools, Convert Org, and Report. The main content area features a filter bar with checkboxes for Open (checked), Closed, Escalated, and All, along with date range filters (From: 06/04/2020, To: 08/03/2020) and a Batch Dispose button. A search bar and a records per page dropdown (set to 10) are also present. The central part of the screen is a table with columns for checkboxes, Result Match, Name Searched, List Name, Tags, Type, Score, Status, Date, and Analyst. Four entries are visible, each with a score and a status of 'Open'. The bottom of the table shows pagination controls: 'Showing 1 to 4 of 4 entries (filtered from 536 total entries)' and buttons for Previous, 1, and Next.

<input type="checkbox"/>	Result Match	Name Searched	List Name	Tags	Type	Score	Status	Date	Analyst
<input type="checkbox"/>	El escandalo "1MDB": Paris Hilton, un Picasso para Leonardo DiCaprio	Jho Lo	High Risk Clients	Bribery and Corruption	Neg News	79.2	Open	2020/08/02	Filomena Ru..
<input type="checkbox"/>	Scandalo sul fondo malaysino 1MDB, condannato un impiegato di banca	Jho Lo	High Risk Clients		Neg News	93.1	Open	2020/08/01	Filomena Ru..
<input type="checkbox"/>	Start Group pagara 2500 millioners por su papel en el	Jho Lo	High Risk Clients	Money Laundering, Bribery and Corruption	Neg News	89.8	Open	2020/07/25	Filomena Ru..
<input type="checkbox"/>	Così riciclaggio e speculazione uccidono il mondo dell'arte	Jho Lo	High Risk Clients		Neg News	79.0	Open	2020/07/17	Filomena Ru..

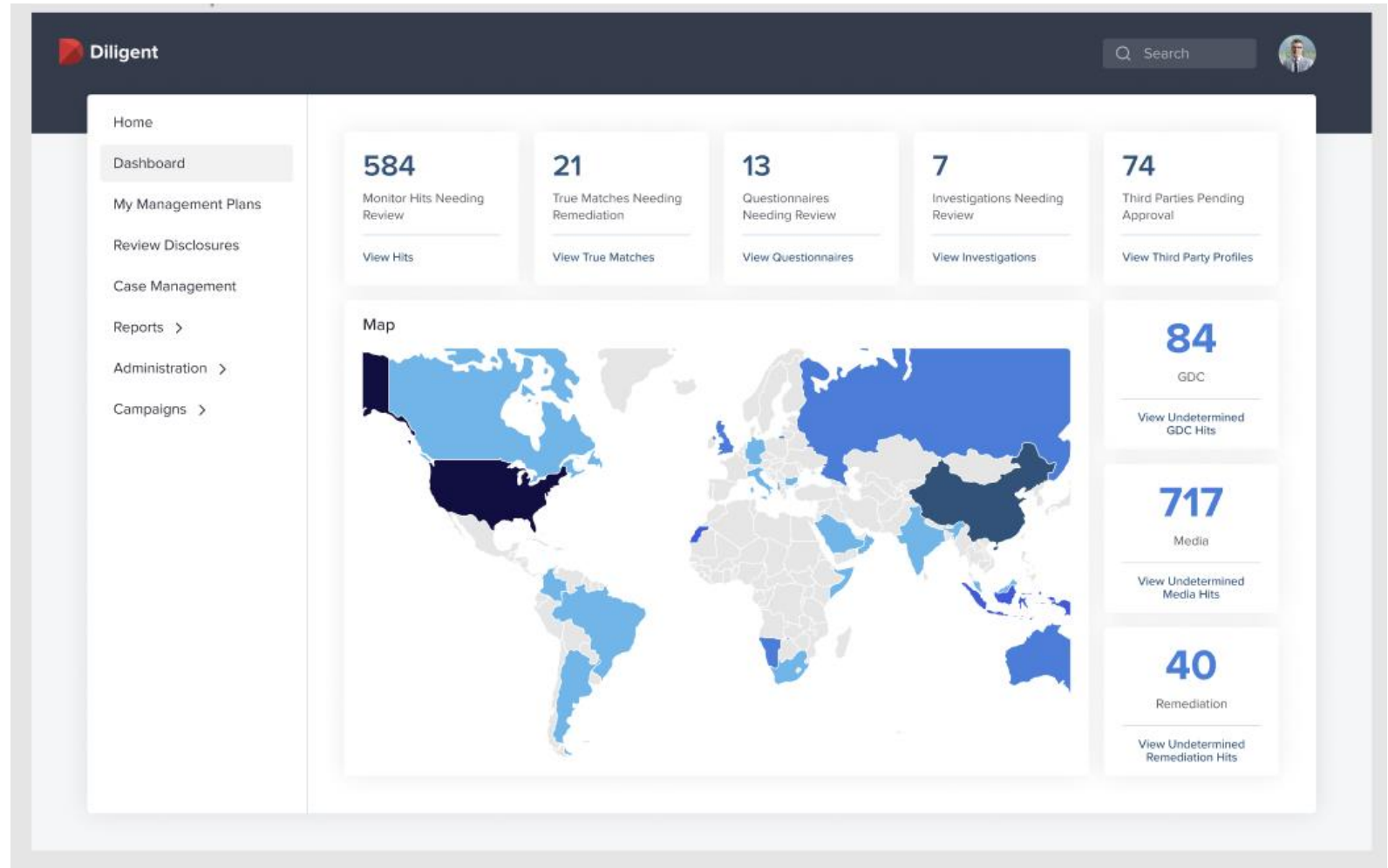
Create a Culture of Compliance

Modern Compliance

Help employees understand and comply with internal policies

Promote clear visibility into compliance behaviors through real-time dashboards, metrics and reports

Open a direct line of communication between the compliance team and the board and C-suite



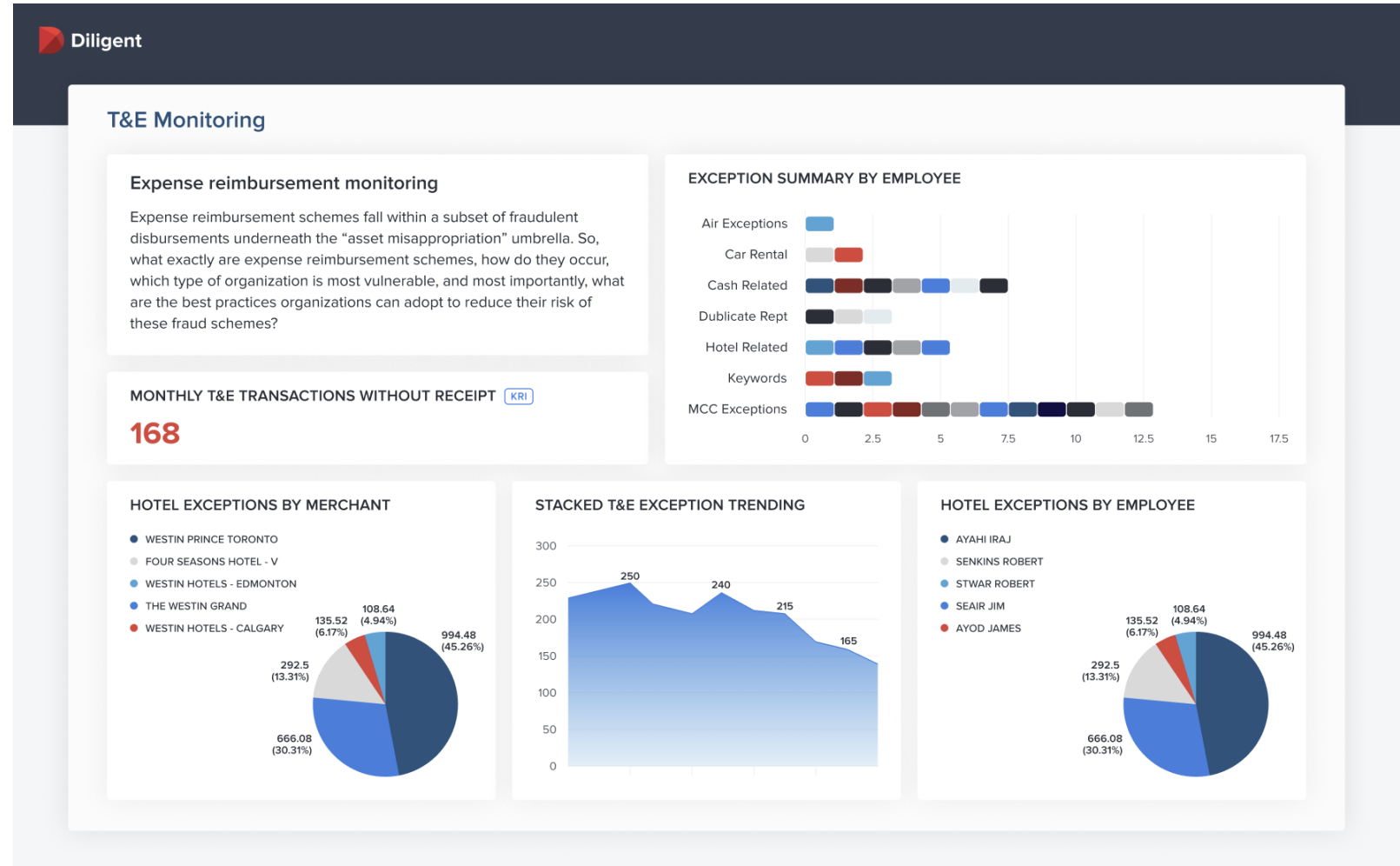
Effortlessly Manage the Audit Lifecycle

Modern Audit

Centralize audit management, streamline audit tasks and automate audit workflows

Swiftly identify the riskiest audits, manage issues and perform remediation

Deliver continuous assurance, monitoring and insights



Monitor And Achieve Your ESG Goals

Modern ESG

Support ESG goal setting, risk monitoring, auditability and stakeholder reporting

Gain clarity on evolving regulations, requirements and liabilities

Foster collaboration among ESG stakeholders throughout your organization

The dashboard displays a summary of applicable standards and regulations, a table of requirements with their coverage and completion status, a bar chart for the Four Pillars Health Score, and an ESG Health Scorecard.

Standards and regulations (3)	Applicable	Gaps	Coverage
	103	14	89

Requirement	Coverage	Covered
ESG World Economic Forum (WEF) Governance Framework 2020	87.50%	✖
▶ CMD Core Metrics and Disclosures	75.00%	✖
▶ EMD Expanded Metrics and Disclosures	100.00%	✔
GRI Sustainability Reporting Standards (GRI Standards)	100.00%	✖
▶ GRI 305 Emissions	100.00%	✖
SASB Sustainability Accounting Standard	100.00%	✔
▶ EM-C0-110a Greenhouse Gas Emissions		
▶ FN-CB-510a Business Ethics		

FOUR PILLARS HEALTH SCORE

Pillar	Industry Benchmark	Internal Assessment	Market Perception
People	24%	54%	48%
Planet	45%	45%	42%
Principles of Governance	28%	45%	5%
Property	100%	100%	42%

ESG HEALTH SCORECARD

ESG Category	ESG Objective	Assurance
1 Environment	Environmental Market Risk	75%
2 Environment	Environmental Reputational Risk	68%
3 Environment	Environmental Regulatory Risk	60%
4 Environment	Environmental Physical Risk	100%
5 Environment	Green Product & Services	95%
6 Environment	Resource Efficiency	85%
7 Environment	Energy Sourcing	60%
8 Environment	Market Expansion	50%
9 Social	Dignity and Equality	70%
10 Social	Health and Well-Being	68%
11 Social	Skills for Future	60%
12 Social	Employment and Wealth Generation	100%

Why Diligent

The World's Leading GRC SaaS Company

In today's evolving business landscape, organizations must be all at once more informed, more secure, more collaborative and more purpose driven.

Diligent provides the supporting infrastructure — the tools, data and practices that support business resilience through:

- **Advancing governance through technology**
- **Initiatives that give back**
- **Global governance research & thought leadership**

About Diligent

1,000,000

Users

95%

Client Retention

90%

Of the FTSE 100

25,000

Clients

130

Countries

83%

Of the ASX 200

1,000+

Employees

79%

of the Fortune 500

Healthcare & Pharma



CPG



Finance & Insurance

BLACKROCK



Technology

coinbase



Energy

ExxonMobil



Insert Key Success Cases.
Relevant to GIT customers/market

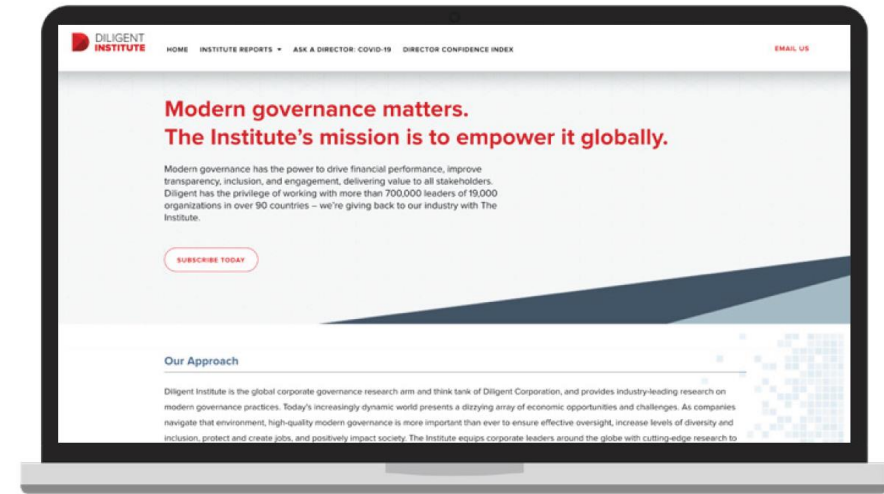
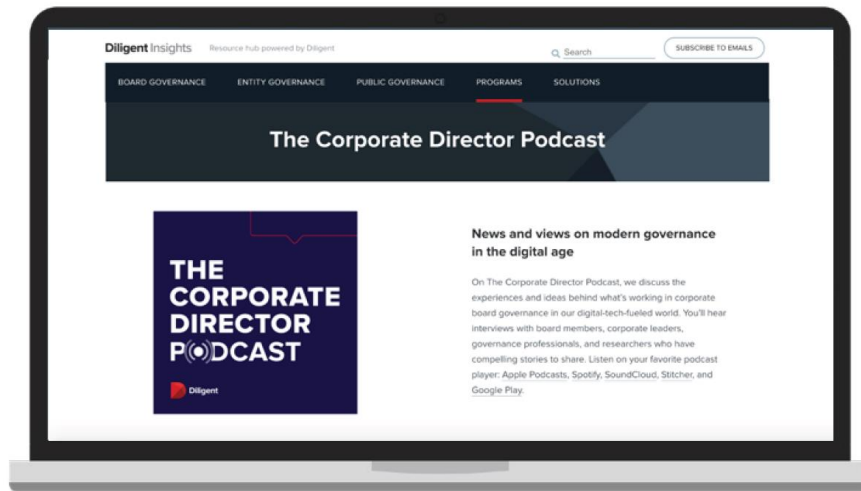
**Healthcare &
Pharma****CPG****Finance &
Insurance****Governance****Energy**

EXPERTOS EN CRUDO PESADO



Empowering Leaders Beyond Technology

Global Governance Research and Thought Leadership



Corporate Director Podcast

Hosted by Diligent, the Corporate Director Podcast discusses experiences and ideas around corporate board governance within a digital-tech-fueled world. You'll hear interviews with board members, corporate leaders, governance professionals and researchers who have compelling stories to share.

Inside America & Europe's Boardrooms

Hosted by TK Kerstetter, Inside America & Europe's Boardrooms is a weekly 10-minute web program tailored for board members, general counsels and corporate secretaries, covering best practices for today's corporate boards and committees.

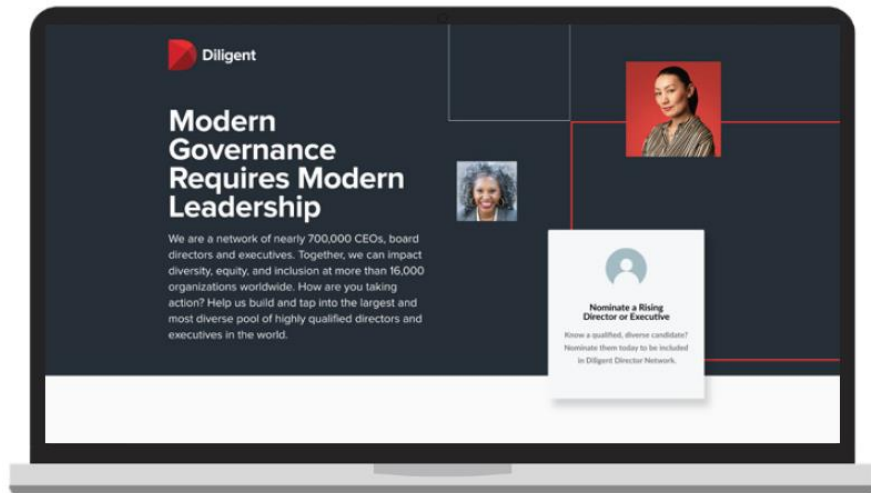
Diligent Institute

The global governance research arm and think tank of Diligent Corporation, Diligent Institute provides cutting edge reports informed by corporate directors, for corporate directors.

Diligent Insights

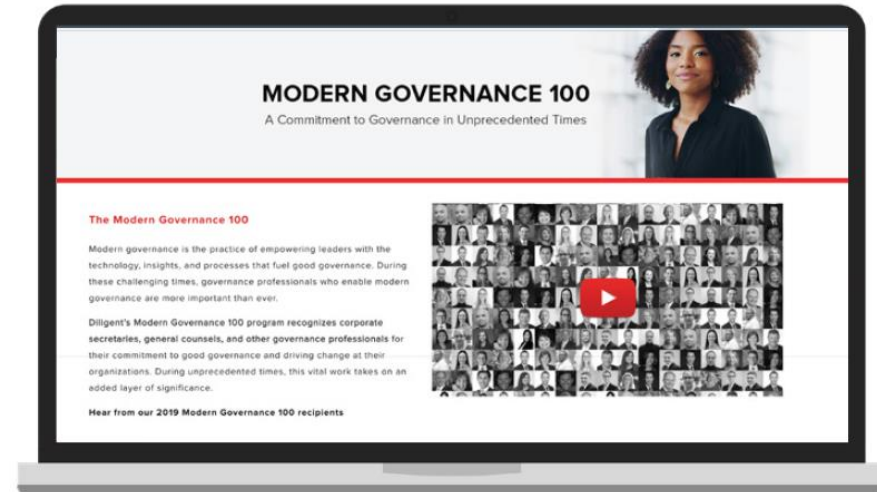
Our global governance resource hub spans across best practice topics to research reports and more.

Initiatives that Give Back



Modern Leadership

Diligent is committed to helping leading organizations build more diverse and inclusive boards and executive teams. Through our Modern Leadership initiative, clients can leverage Diligent's Director Network to nominate a rising diverse board candidate or post open board seats for more inclusive board composition.



Modern Governance 100

Diligent's Modern Governance 100 program recognizes corporate secretaries, general counsels, and other governance professionals for their commitment to good governance and ability to drive change at their organizations.

